



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/593,107	06/13/2000	Youji Kawamoto	SONY-T0708

Charles P Sammut  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111



## FORMALITIES LETTER



\*OC000000005366691\*

Date Mailed: 08/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/23/2000 SDUONG 00000110 09593107

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SECTOR #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2756
YOJI KAWAMOTO ET AL.	)	Examiner: Not Assigned
Appln. No. 09/593,107	)	
Filed: June 13, 2000	)	<b>RESPONSE TO NOTICE TO FILE MISSING</b>
For: COMMUNICATION SYSTEM,	)	<b>PARTS OF APPLICATION AND SUBMISSION</b>
NETWORK SYSTEM, INFORMATION	)	<b>OF COMBINED POWER OF ATTORNEY AND</b>
CONTROLLER AND TERMINAL	)	<b>DECLARATION</b>
EQUIPMENT	)	
		2001 Ferry Building
		San Francisco, CA 94111
		415/433-4150

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 28, 2000 (copy enclosed), applicants submit the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

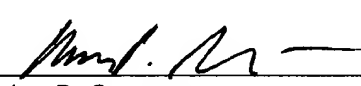
Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 16, 2000  
(Date)

SONY-T0708  
(S00P0708US00)

By:

  
Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on October 16, 2000.

LIMBACH & LIMBACH L.L.P. Date: October 16, 2000

By:   
Name: Susan Ozanne

**FILE COPY**

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/593,107	<b>FILING DATE</b> 06/13/2000 <b>RULE</b> _	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2756	<b>ATTORNEY DOCKET NO.</b> SONY-T0708	
<b>APPLICANTS</b> Yoji Kawamoto, Tokyo, JAPAN; Hirofumi Kawamura, Kanagawa, JAPAN; Motomasa Futagami, Kanagawa, JAPAN; Motohiko Nagano, Tokyo, JAPAN;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b> JAPAN P11-178994 06/24/1999 JAPAN P11-178995 06/24/1999					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/26/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 18	<b>TOTAL CLAIMS</b> 15	<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> Charles P Sammut Limbach & Limbach LLP 2001 Ferry Building San Francisco, CA 94111 <i>Sonnenschein Nath &amp; Rosenthal          P.O. Box 061080          Wacker Drive Station Sears Tower          Chicago, IL 60606-1080</i>					
<b>TITLE</b> Communication system, network system, information controller and terminal equipment					
<b>FILING FEE RECEIVED</b> 976	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		



RECEIPT

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 2756
YOJI KAWAMOTO ET AL.	)	Examiner: Unknown
Appln. No.: 09/593,107	)	REQUEST FOR CORRECTION
Filed: June 13, 2000	)	OF THE OFFICIAL FILING
	)	<u>RECEIPT</u>
For: COMMUNICATION SYSTEM,	)	2001 Ferry Building
NETWORK SYSTEM, INFORMATION)	)	San Francisco, CA 94111
CONTROLLER AND TERMINAL	)	415/433-4150
EQUIPMENT	)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 10/16/00

LIMBACH & LIMBACH L.L.P.

Date: 10/16/00

By: Susan Ozanne  
Name: SUSAN OZANNE

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NOV 15 2000  
2700 MAIL ROOM

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on September 18, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please change the spelling of the first named inventor to: Yoji Kawamoto

This spelling is used in the signed Declaration and Power of Attorney for Patent Application filed herewith.

Please also add the following to Foreign Applications:

JAPAN P11-178995 06/24/99

PATENT


Please forward the amended Official Filing  
Receipt to:

Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

In the event it is determined that a fee pursuant to 37 C.F.R. § 1.19(h) is required in connection with this request, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

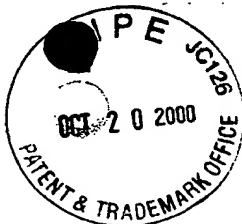
Respectfully submitted,  
LIMBACH & LIMBACH L.L.P.

Date: October 16, 2000

By:   
Charles P. Sammut  
Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-T0708 (S00P0708US00)



Page 1 of 3  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/593,107	06/13/2000	2756	846	SONY-T0708	18	15	5

Charles P Sammut  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111

RECEIVED

SEP 18 2000

FILING RECEIPT



\*OC000000005356690\*

LIMBACH & LIMBACH

Date Mailed: 08/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yuji Kawamoto, Tokyo, JAPAN;  
Hirofumi Kawamura, Kanagawa, JAPAN;  
Motomasa Futagami, Kanagawa, JAPAN;  
Motohiko Nagano, Tokyo, JAPAN;

PRIOR ART STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

18 MONTH SUSPENSE DATE: 12/13/01

on Cal. Enm

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN P11-178994 06/24/1999

JAPAN P11-178995 06/24/99

If Required, Foreign Filing License Granted 08/26/2000

Title

Communication system, network system, information controller and terminal equipment

Preliminary Class

709

Data entry by : HOPKINS, AUDREY

Team : OIPE

Date: 08/28/2000

